2132/08
IF49 image

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Yves Louis Gabriel AUDEBERT, et al.

Application No.:   09/844,246   Art Unit: 2182

Filed: April 30, 2001

For: METHOD AND SYSTEM FOR ESTABLISHING A REMOTE
              CONNECTION TO A PERSONAL SECURITY DEVICE

NOTICE OF CO-PENDING RELATED APPLICATIONS
PURSUANT TO 37 CFR §1.56 MPEP 2001.06(b)

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Pursuant to 37 CFR §1.56 and MPEP 2001.06(b), the applicants hereby call to the attention of the Patent and Trademark Office the following applications which may be deemed to be co-pending related applications to the present application:
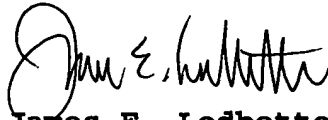
(1)   10/476,329;

(2)   10/476,316;

(3)   09/844,439;

(4)   09/844,272;

(5)   09/985,344;

(6)   10/085,127; and

(7)   10/476,416.

It is requested that the prior art references from each application be reviewed by the examiner and made of record in the present application. To assist the examiner, submitted herewith is an Information Disclosure Statement directed to making the art

currently of record in each of the above-listed cases also of record in the present application.

Respectfully submitted,

[signature]

Date: November 23, 2004

James E. Ledbetter
Registration No. 28,732

JEL/ejw

ATTORNEY DOCKET NO. <u>L741.01101</u>
STEVENS, DAVIS, MILLER & MOSHER, LLP
1615 L STREET, NW, SUITE 850
WASHINGTON, DC 20043-4387
Telephone: (202) 785-0100
Facsimile: (202) 408-5200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Yves Louis Gabriel AUDEBERT, et al.

Application No.:   09/844,246   Art Unit: 2182

Filed: April 30, 2001

For: METHOD AND SYSTEM FOR ESTABLISHING A REMOTE
                CONNECTION TO A PERSONAL SECURITY DEVICE

<u>INFORMATION DISCLOSURE STATEMENT</u>
<u>AND FEE UNDER 37 CFR §1.17(p)</u>

Assistant Commissioner of Patents
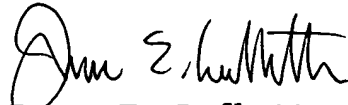Washington, DC 20231

Dear Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention

of the Patent Office to the art listed on the attached PTO Forms 1449.

These Forms PTO 1449 bring to the attention of the Examiner the art cited

in the applications listed in the Notice of Co-Pending Related

Applications filed concurrently herewith.

Applicants present these references so that the Patent Office

may, in the first instance, determine any relevancy thereof to the

presently claimed invention, see <u>Beckman Instruments, Inc. v.</u>

<u>Chemtronics, Inc.</u>, 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir.

1970). Also see Patent Office Rules 104 and 106. Applicants

respectfully request that these references be expressly considered

during the prosecution of this application and made of record

herein and appear among the "References Cited" on any patent to

issue herefrom.

The fee of $180.00 set forth in 37 CFR §1.17(p) is enclosed (Check No. 41332).

The Commissioner of Patents and Trademarks is hereby authorized to charge any additional fee (or credit any overpayment) to Deposit Account No. 19-4375.

Respectfully submitted,

Date: November 23, 2004   James E. Ledbetter
                                 Registration No. 28,732

JEL/ejw
ATTORNEY DOCKET NO.: L741.01101
STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L STREET, NW, Suite 850
P.O. Box 34387
WASHINGTON, DC 20043-4387
Telephone: (202) 785-0100
Facsimile: (202) 408-5200

FORM PTO-1449 (Rev. 4/92) U.S. Department of Commerce Patent and Trademark Office		ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
		FILING DATE April 30, 2001	GROUP 2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		N. Itoi, et al., "Secure Internet Smartcards," CITI Technical Report 00-6, http://www.citi.umich.edu/projects/smartcard/, Center for Information Technology Integration, University of Michigan, Aug. 24, 2000.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
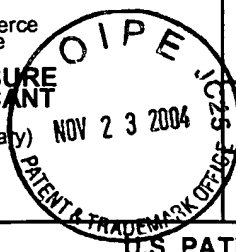
(Form PTO-1449 [6-4])

Our Ref.: L741.01102

Serial No.: 09/844,439

Information Disclosure Statement
of January 17, 2003

FORM PTO-1449 (Rev. 4/92)	U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use several sheets if necessary)

NOV 2 3 2004

APPLICANT	Yves Louis Gabriel AUDEBERT, et al.
FILING DATE April 30, 2001	GROUP 2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
			5	7	7	8	0	7	1	07/1998	Caputo et al.			
		2002	0	2	5	0	4	6	02/2002	LIN			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
		0	1	2	2	3	7	3	03/2001	WO				
		0	1	1	6	9	0	0	03/2001	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		T. EBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
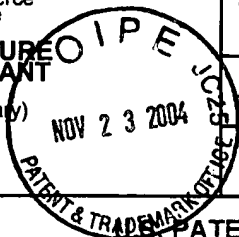
(Form PTO-1449 [6-4])

Our Ref.: L741.01102

Serial No.: 09/844,439

Information Disclosure Statement
of October 19, 2001

FORM PTO-1449 (Rev. 4/92)	U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use several sheets if necessary)

NOV 2 3 2004

APPLICANT Yves Louis Gabriel AUDEBERT, et al.

FILING DATE April 30, 2001	GROUP 2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	1	3	1	8	1	1	10/2000	Gangi			
		6	1	0	1	2	5	4	08/2000	Thiriet			
		6	0	0	5	9	4	2	12/1999	Chan et al.			
		5	9	9	1	4	0	7	11/1999	Murto			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

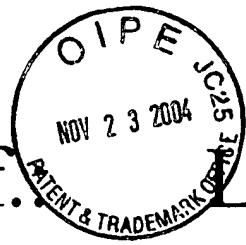### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
		Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
		Global Platform, Multi Application– Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
		ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
		ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
		ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
		ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
		Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
		Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
		Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
		Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
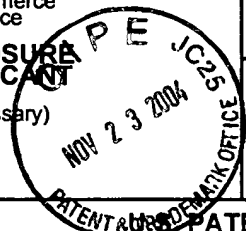
Our Ref.: L741.01103

Serial No.: 09/844,272

Information Disclosure Statement
of October 19, 2001

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
	FILING DATE April 30, 2001	GROUP 2182

NOV 2 3 2004

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	1	3	1	8	1	1	10/2000	Gangi			
		6	1	0	1	2	5	4	08/2000	Thiriet			
		6	0	0	5	9	4	2	12/1999	Chan et al.			
		5	9	9	1	4	0	7	11/1999	Murto			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
		Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
		Global Platform, Multi Application– Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
		ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
		ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
		ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
		ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
		Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
		Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
		Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
		Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Our Ref.: L741.01103
Serial No.: 09/844,272

Information Disclosure Statement
of January 17, 2003

FORM PTO-1449 (Rev. 4/92)	U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
		FILING DATE April 30, 2001	GROUP 2182

Stamp: NOV 2 3 2004 — JC-25 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		∨	6	2	7	9	0	4	7	08/2001	Bublitz et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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		–	9	8	5	2	1	6	1	11/1998	WO				
			0	1	5	9	7	3	0	08/2001	WO				
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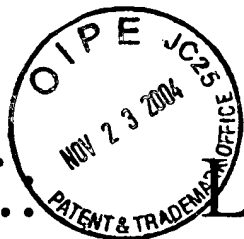|		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		International Search Report dated August 23, 2002.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Our Ref.: L741.01107

Serial No.:   09/985,344

Information Disclosure Statement
of January 31, 2002

FORM PTO-1449 (Rev. 4/92)	U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO.	SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
L741.01101	09/844,246

APPLICANT
Yves Louis Gabriel AUDEBERT, et al.

FILING DATE	GROUP
April 30, 2001	2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓		6	1	0	1	2	5	4	08/2000	Thiriet			
✓		6	1	3	1	8	1	1	10/2000	Gangi			
✓		6	0	0	5	9	4	2	12/1999	Chan et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	✓	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
	✓	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
		Global Platform, Multi Application– Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
	✓	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
	✓	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
		ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
		ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
		Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
	✓	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaworld, July 1999.
		Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
	✓	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

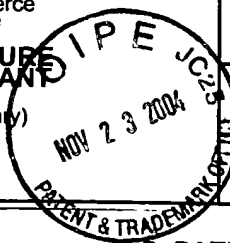(Form PTO-1449 [6-4])

Our Ref..L741.01107

Serial No.:   09/985,344

Information Disclosure Statement
of May 21, 2003

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
	FILING DATE April 30, 2001	GROUP 2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	0	1	8	7	7	9	01/2000	Blumenau			
		6	1	2	8	3	3	8	10/2000	Behaghel et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
		0	9	2	3	2	1	1	06/1999	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		International Search Report dated April 23, 2003.
		European Telecommunications Standards Institute: "Digital Cellular Telecommu nications System (Phase 2+) (GSM); Universal Mobile telecommunications System (UMTS); Security Mechanisms for the (U) SIM application toolkit; Stage 2: (3GPP TS 23.048 version 4.1.0 Release 4)" ETSI TS 123 048 V4.1.0, September 2001, pp. 1-35, XP002237189 ETSI Technical Specification.
		"Digital Cellular Telecommunications System (Phase 2+) (GSM); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) Interface (GSM 11.14 version 8.3.0 Release 1999)" ETSI TS 101 267 V8.3.0, XX, XX, August 2000, pp. 1-69, 114, 115, XP002222021.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Our Ref.: L741.02102

Serial No.: 10/085,127

Information Disclosure Statement
of May 23, 2002

FORM PTO-1449 (Rev. 4/92)	U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
		FILING DATE April 30, 2001	GROUP 2182

(Stamp: IPE JC25 NOV 2 3 2004 PATENT & TRADEMARK OFFICE)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCL ASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCL ASS	TRANSLATION YES	NO

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology-Identification cards-Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1, pp. 1-5, December 15, 1997.
		ISO/IEC 7816-4: 1995(E) Information technology-Identification cards-Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, pp. 1-46, September 1, 1995.
		US Patent Application Serial No. 09/844,246 entitled "Method And System For Establishing A Remote Connection To A Personal Security Device", filed on April 30, 2001 by Y. AUDEBERT, et al.
		US Patent Application Serial No. 09/844,439 entitled "Method And System For Authentication Through A Communications Pipe", filed on April 30, 2001 by Y. AUDEBERT, et al.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
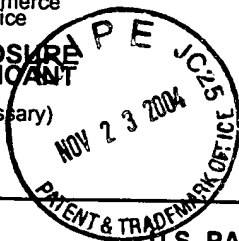
(Form PTO-1449 [6-4])

Our Ref.: L741.02102

Serial No.: 10/085,127

Information Disclosure Statement
of June 25, 2003

FORM PTO-1449   U.S. Department of Commerce
(Rev. 4/92)   Patent and Trademark Office

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets if necessary)
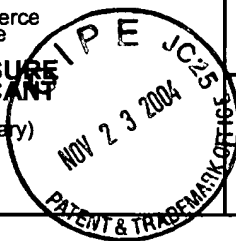
ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
FILING DATE April 30, 2001	GROUP 2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	1	0	8	7	8	9	08/2000	Dancs et al.			
		5	7	7	8	0	7	1	07/1998	Caputo et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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		0	1	1	6	9	0	0	03/2001	WO				
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		2	7	7	9	0	1	8	11/1999	FR				

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		International Search Report dated May 28, 2003.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

Our Ref.: L741.03108

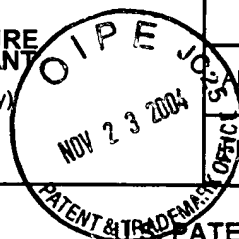Serial No.: 10/476,329

Information Disclosure Statement
of May 5, 2004

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
	FILING DATE April 30, 2001	GROUP 2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6	1	3	1	8	1	1	10/2000	Gangi			
		6	1	0	1	2	5	4	08/2000	Thiriet			
		6	0	0	5	9	4	2	12/1999	Chan et al.			
		5	9	9	1	4	0	7	11/1999	Murto			
		6	2	7	9.	0	4	7	08/2001	Bublitz et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
		9	8	5	2	1	6	1	11/1998	WO				
		0	1	5	9	7	3	0	08/2001	WO				
		0	9	1	1	7	7	2	04/1999	EP				
1		9	5	2	2	5	2	7	01/1997	DE				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
	Global Platform, Multi Application– Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
	Java Card 2.1 Application Program Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
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	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.
	International Search Report dated August 23, 2002.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

FORM PTO-1449 (Rev. 4/92) U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
	FILING DATE April 30, 2001	GROUP 2182

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	7	7	8	0	7	1	07/1998	Caputo et al.			
	2002	0	2	5	0	4	6		02/2002	LIN			
		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
		0	1	2	2	3	7	3	03/2001	WO				
		0	1	1	6	9	0	0	03/2001	WO				
		9	9	6	2	2	1	0	12/1999	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		T. EBRINGER, et al.; "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.
		International Search Report dated August 27, 2002.
		International Search Report dated September 3, 2002.
		P. TROMMLER, et al.: "Smart Cards and the OpenCard Framework," Java World, January, 1998, XP002173639, pp. 1-12.

EXAMINER	DATE CONSIDERED

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Our Ref.:   L741.03109
Serial No.:   10/476,316

Information Disclosure Statement
of May 7, 2004

FORM PTO-1449 (Rev. 4/92)	U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT **Yves Louis Gabriel AUDEBERT, et al.**	
		FILING DATE **April 30, 2001**	GROUP **2182**

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		6	0	0	5	9	4	2	12/1999	Chan et al.			
		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.			
		5	7	7	8	0	7	1	07/1998	Caputo et al.			
	2002	0	2	5	0	4	6		02/2002	Lin			
		5	9	9	1	4	0	7	11/1999	Murto			

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													YES	NO
		9	9	6	2	2	1	0	12/1999	WO				
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		ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
		ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
		ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
		Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
		Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
		Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998.
		Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

EXAMINER		DATE CONSIDERED	

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(Form PTO-1449 [6-4])

FORM PTO-1449	U.S. Department of Commerce	ATTY. DOCKET NO.	SERIAL NO.
(Rev. 4/92)	Patent and Trademark Office	L741.01101	09/844,246

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT	Yves Louis Gabriel AUDEBERT, et al.
FILING DATE April 30, 2001	GROUP 2182

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		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
		T. EBRINGER, et al.; "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Breach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.
		P. TROMMLER, et al.; "Smart cards and the OpenCard Framework," Java World, January, 1998, XP002173639, pp. 1-12.

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(Form PTO-1449 [6-4])

Our Ref.:   L741.03110
Serial No.:   10/476,416

Information Disclosure Statement
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FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office	ATTY. DOCKET NO. L741.01101	SERIAL NO. 09/844,246
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Yves Louis Gabriel AUDEBERT, et al.	
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		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.			
		5	7	7	8	0	7	1	07/1998	Caputo et al.			
	2002	0	2	5	0	4	6		02/2002	Lin			
		6	2	7	9	0	4	7	08/2001	Bublitz et al.			

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		0	1	2	2	3	7	3	03/2001	WO				
		0	1	1	6	9	0	0	03/2001	WO				
		9	8	5	2	1	6	1	11/1998	WO				

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		Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000.
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		Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
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		Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000.

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
	✓	0	1	5	9	7	3	0	08/2001	WO				
		0	9	1	1	7	7	2	04/1999	EP				
	✓	1	9	5	2	2	5	2	7	01/1997	DE				

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✓	T. EBRINGER, et al.; "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Breach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.
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